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Atty. Dkt. No. 025948-0158

IFW/ A C.C.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Frank M. Keese et al.

Title:

BELTS WITH INTEGRAL

FLIGHTS FOR USE IN HIGH-

TEMPERATURE FOOD PROCESSING EQUIPMENT AND SYSTEMS INCLUDING

**SUCH BELTS** 

Appl. No.:

10/796,534

Filing Date:

03/09/2004

Examiner:

Loney, Donald J.

Art Unit:

1772

Confirmation

2016

Number:

# CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. EV 633138555 US 07/07/06 (Express Mail Label Number) (Date of Deposit) Roberta A. Cooper (Printed Name) (Signature)

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be 07/11/2006 HDESTAI 00000104 10796534

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appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

### RELEVANCE OF EACH DOCUMENT

The included documents have been cited as prior art by Advanced Flexible Composites (AFC) against US Pat. No. 6,919,122 (the '122 patent) during litigation relating to the '122 patent. The '122 patent is the parent of the present application.

All of the documents are in English.

A request for reexamination has been granted for the '122 patent.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

### **FEE**

A credit card payment form in the amount of \$180.00 is enclosed in accordance with 37 CFR §1.17(p) to cover the fee associated with an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment,



to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date 2006

FOLEY & LARDNER LLP Customer Number: 26371

Telephone: Facsimile:

(414) 297-5839 (414) 297-4900 Marcus A. Burch Attorney for Applicant

Registration No. 52,673

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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No.	Substitute for form 1449	B/PTO	Complete if Known				
/ nankin	FORMATION DISCL	.OSURE	Application Number	10/796,534			
101 07 71110 ST	FORMATION DISCLE TEMENT BY APP	LICANT	Filing Date	3/9/2004			
105 0	or Submitted: July	7 2006	First Named Inventor	Frank M. Keese			
<b>Y</b>	ete Submitted: July		Group Art Unit	1772			
WATER TO A DE SE	as many sheets as i	necessary)	Examiner Name	Loney, Donald J.			
Sheet	1 of	9	Attorney Docket Number	025948-0158			

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent D	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
,	A1	2005/0097824	A1	Braunschweig et al.	05-12-2005	
	A2	6,904,615	B2	Kobe et al.	06-14-2005	
	A3	6,294,600	B1	Takada et al.	09-25-2001	
	A4	6,239,223	B1	Effenberger et al.	05-29-2001	
	A5	6,194,050	B1	Koerber et al.	02-27-2001	
	A6	6,139,426		Koerber	10-31-2000	
	A7	6,059,655		Koerber	05-09-2000	
	A8	5,960,704		March et al.	10-05-1999	
	A9	5,935,376		Fell	08-10-1999	
	A10	5,931,983		Bloom	08-03-1999	
	A11	5,916,659		Koerber et al.	06-29-1999	
	A12	5,759,924		Sahlin	06-02-1998	
	A13	5,725,427		Koerber	03-10-1998	
	A14	5,357,726		Effenberger et al.	10-25-1994	
	A15	5,238,748		Effenberger et al.	08-24-1993	
	A16	5,230,937		Effenberger et al.	07-27-1993	
	A17	5,194,335		Effenberger et al.	03-16-1993	
	A18	4,943,473		Sahatjian et al.	07-24-1990	
	A19	4,770,927		Effenberger et al.	09-13-1988	
,	A20	4,555,543		Effenberger et al.	11-26-1985	
	A21	4,325,478		Richard	04-20-1982	·
	A22	4,311,474		Standley	01-19-1982	
	A23	4,294,539		Spehrley, Jr.	10-13-1981	
	A24	3,977,265		Worley et al.	08-31-1976	
	A25	3,976,370		Goel et al.	08-24-1976	
	A26	3,123,354		Ungerer	03-03-1964	
	A27	2,430,500		Freedlander et al.	11-11-1947	
	A28	2,305,044		Toews	12-15-1942	

		•	UNPUBLIS	HED U.S. PATENT APPLICATION	DOCUMENTS	•
Examiner Initials*	Cita	U.S. Patent Ap	•	Name of Patentee or Applicant of	Filing Date of	Pages, Columns, Lines, Where Relevant
	Cite No. <sup>1</sup>	Serial Number	Kind Code <sup>2</sup> (if known)	Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
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Examiner Signature				Date Considered			

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO Complete if Known **Application Number** 10/796.534 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date 3/9/2004 **First Named Inventor** Frank M. Keese Date Submitted: July 7, 2006 Group Art Unit 1772 (use as many sheets as necessary) **Examiner Name** Loney, Donald J. **Attorney Docket Number** 025948-0158 Sheet

				F	DREIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No. <sup>1</sup>	Fo Office <sup>3</sup>	oreign Patent D Number <sup>4</sup>	Oocument Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	A29	CA	2326099		Kraft et al.	10-07-1999		T
	A30	GB	1445143		Itek Corp.	08-04-1976		
	A31	GB	1433204		Bechtloff	04-22-1976		
			-					

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A32	Sales Report by sales person Bate No. 743	
	A33	Web printout from St. Louis Factory Supply Inc Bate Nos. 785 – 796	
	A34	Spreadsheet entitled "Customer Booking Detailed Report" - Bate Nos. 804 – 815	
	A35	Search terms - Bate Nos. 1229-1230	
	A36	Search terms - Bate Nos. 1231-1232	
	A37	Search terms - Bate No. 1233	
	A38	Search terms - Bate No. 1234	<del> </del>
	A39	Search terms - Bate No. 1235	<del> </del>

Examiner Signature	 •	Date Considered	

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	Substitute for	form 1449B	/PTO		Complete if Known				
	INFORMATIO	N DISCLO	SURE	Application Number	10/796,534				
	STATEMENT	BY APPLI	CANT	Filing Date	3/9/2004				
	Data Cultarit	todi lubi 7	2006	First Named Inventor	Frank M. Keese				
	Date Submit	tea. July 7,	2006	Group Art Unit	1772				
	(use as many s	heets as ne	cessary)	Examiner Name	Loney, Donald J.				
Sheet	3	of	9	Attorney Docket Number	025948-0158				

		NON PATENT LITERATURE DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.									
	A40	Search terms - Bate Nos. 1236-1237									
	A41	Search terms - Bate Nos. 1238-1239									
·	A42	Search terms - Bate Nos. 1240-1241									
	A43	Search terms - Bate Nos. 1242-1243									
	A44	Search terms - Bate Nos. 1244-1246									
	A45	Search terms - Bate Nos. 1247-1248									
•	A46	Search terms - Bate No. 1249									
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	Date Submitted:	July 7,	2006	Group Art Unit	1772				
	(use as many sheet	s as ne	cessary)	Examiner Name	Loney, Donald J.				
Sheet	4	of	9	Attorney Docket Number	025948-0158				

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	A48	Search terms - Bate No. 1251							
	A49	Search terms - Bate No. 1252	-						
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	A50	"Process and Conveyor Belting" - Ammeraal - Bate Nos. 1772 - 1790	+						
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	A51	"Process and Conveyor Belting" - Ammeraal - Bate Nos. 1791 – 1807	+						
	A52	"Process and Conveyor Belting" - Ammeraal - Bate No. 1808							
	A53	Especificationes for Bandas Transportadoras y de proceso - ammeraal - Bate Nos. 1829 – 1873							
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	A55	Derco specs - Bate Nos. 2202 – 2210							

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	Substitute for form	1449B/	PTO	Complete if Known		
	INFORMATION DI	SCLO	SURE	Application Number	10/796,534	
STATEMENT BY APPLICANT  Date Submitted: July 7, 2006  (use as many sheets as necessary)				Filing Date	3/9/2004	
				First Named Inventor	Frank M. Keese	
				Group Art Unit	1772	
				Examiner Name	Loney, Donald J.	
Sheet	5	of	9	Attorney Docket Number	025948-0158	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A56	Derco specs - Bate Nos. 2217 – 2228	
	A57	Derco specs - Bate Nos. 2229 – 2234	
	A58	Derco specs - Bate Nos. 2237	-
	A59	Mol Belting Company – Comparison chart for Plied Thermal Plastic Belting and other documents from Mol Belting Company - Bate Nos. 2256 – 2270	
	400		
	A60	Mol belting Co - Bate Nos. 2271 – 2277	
	A61	Mol Belting Co - Bate Nos. 2279 - 2358	
	A62	Fabreeka Specialist Conveyor Belting Product Brochure, Bate Nos. 2359 – 2400	
	A63	Introductory Guide to Conveyor Belting, published by The National Industrial Belting Association - Bate Nos. 2448 – 2460	

Examiner Signature	-	Date Considered	

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Attorney Docket Number

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	A64	Brochure for Brecoflex - Bate Nos. 2461 - 2475	
•	A65	Textbook for loading considerations, belt selection, etc Bate Nos. 2476 - 2480	
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<del></del>	A66	Book chapter entitled "A Guide to Steep Angle Conveying" - Bate Nos. 2481 – 2500	+
	A00	Book Chapter entitled A Guide to Steep Angle Conveying - Bate 1103: 2401 - 2000	
	A67	Polyflex cleating procedure fax - Bate Nos. 2516	
	400	Fabrica brochure - Bate Nos. 2518 – 2521	_
	A68	Fabrica brochure - Bate Nos. 2518 – 2521	
	A69	Fabrica Brochure - Bate Nos. 2522 – 2525	
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	A70	Fabrica Brochure - Bate Nos. 2526 – 2529	
	A71	Fabrica Brochure - Bate Nos. 2530 – 2533	_

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	A72	Chemprene brochure – in Spanish - Bate Nos. 2534 – 2539	
	A73	Shingle Belting brochure - Bate Nos. 2566 – 2581	
	A74	Fabrica invoice - Bate No. 2586	
	·A/4	Fabrica invoice - Bate No. 2500	
	A75	Esbelt brochure – in Spanish - Bate Nos. 2587 – 2592	
	A76	Strongbelt brochure – in a foreign language - Bate Nos. 2593 – 2734	
	A77	Royalon brochure - Bate Nos. 2735 – 2749	
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	A78	Brochure for Belting Industries Company, Inc Bate Nos. 2757 – 2767	
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	A79	Brecoflex schematic - Bate No. 2776	
	A/9	Diecollex Schematic - Date No. 2770	
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Examiner Signature	Date Considered	

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	Substitute for	r form 1449B	/PTO	Complete if Known		
	INFORMATIO	ON DISCLO	SURE	Application Number	10/796,534	
	STATEMENT	BY APPLI	ICANT	Filing Date	3/9/2004	
	Data Culturit	todi lubi 7	2006	First Named Inventor	Frank M. Keese	
	Date Submit	itea. July 7,	2006	Group Art Unit	1772	
(use as many sheets as necessary)				Examiner Name	Loney, Donald J.	
Sheet	8	of	· 9	Attorney Docket Number	025948-0158	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A80	Breco brochure - Bate Nos. 2778 – 2789	
· ·	A81	Mectrol brochure - Bate Nos. 2790 – 2799	
	A82	Mectrol brochure - Bate Nos. 2806 – 2892	
	A83	Schlatterer Espand brochure - Bate Nos. 2893 – 2923	
	A84	Customer Booking Reports - Bate Nos. 3009 - 3017	
	A85	Introductory guide to conveyor belting - Bate Nos. 3046 – 3083	
	A86	AFC press release - Bate No. 3170	
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Sheet	9	of	9	Attorney Docket Number	025948-0158		

NON PATENT LITERATURE DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>								
	A88	Glossary of Industry Terms for the Conveyor and Elevator Belting Industry - Bate Nos. 3972 - 3998							
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	A89	AFC Press Release - Bate Nos. 3999							
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	A90	AFC Press Release - Bate Nos. 4000							
·.	A91	AFC Press Release - Bate Nos. 4001 – 4002							
	A92	AFC Press Release - Bate Nos. 4003							
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	A93	List of AFC press releases - Bate Nos. 4008 - 4009							
	A94	AFC definitions of common terms - Bate Nos. 4202 – 4219	-						

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